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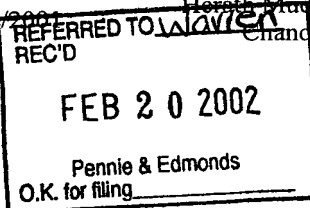
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
|--------------------|---------------------|-----------------------|------------------------|

10/014,340

12/10/2001

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Chandrasiri Herath

9195-078

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

CONFIRMATION NO. 1525

FORMALITIES LETTER



\*OC000000007393953\*

Oath/Decl./Seq. List. • Fee: 3/30/02 @

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/02/2002 SHINASS1 00000036 161150 10014340

FILED UNDER 37 CFR 1.53(b)

1815

|           |           |
|-----------|-----------|
| 01 FC:101 | 740.00 CH |
| 02 FC:103 | 828.00 CH |
| 03 FC:102 | 840.00 CH |
| 04 FC:104 | 280.00 CH |
| 05 FC:105 | 130.00 CH |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1948.
  - \$828 for 46 total claims over 20.
  - \$840 for 10 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2818.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be

submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

*Abm*

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Initial Patent Examination Division (703) 308-1202

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Parekh et al.

Serial No.: 10/014,340

Examiner: To be assigned

Filed: December 10, 2001

Group Art Unit: 1614

For: Nucleic Acid Molecules, Polypeptides, And Attorney Docket No.: 9195-078  
Uses Therefor, Including Diagnosis And  
Treatment of Alzheimer's Disease

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, which was mailed on January 30, 2002 (a copy of which is attached hereto), Attorneys for Applicant respectfully submit herewith an original Declaration executed by the inventors. Also submitted herewith is an Extension of Time for 1 month, extending the time for responding to the Notice to File Missing Parts Nonprovisional Application to and including April 30, 2002.

Also submitted herewith is a Sequence listing in computer readable form, a paper copy of the Sequence Listing, and a statement that the content of the sequence listing information recorded in computer readable form is identical to the paper copy of the sequence listing. Also submitted herewith is an Amendment to the specification. The Amendment adds to the specification references to the SEQ ID NOs of the Sequence Listing. No new matter has been introduced by the Amendment.

The fee required to be filed with the accompanying Declaration and Power of Attorney and Sequence Listing has been estimated to be \$130.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. In addition, please charge the required basic filing fee of \$740.00, the additional claims over 20 fee of \$828.00, the independent claims over 3 fee of \$840.00, and the multiple dependent claim surcharge of



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\$280.00 to Pennie & Edmonds LLP Deposit Account No. 16-1150. The total fee is estimated to be \$2818.00. A copy of this sheet is enclosed.

Respectfully submitted,

Date April 30, 2002

Scott Warren

47,167

(Reg. No.)

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Enclosures